

12/14/01



JC959 U.S. PTO

**UTILITY
PATENT APPLICATION
TRANSMITTAL**(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Title of Invention

Polyoxypropylene/Polyoxyethylene Copolymers
with Improved Biological Activity

Named Inventor(s)

R. Martin Emanuele et al.

Attorney Docket

19720-0229 (42896-263913)

Express Mail Label No.

EL910718949US

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10/017223

12/14/01

APPLICATION ELEMENTSADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231**ACCOMPANYING APPLICATION PARTS**

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims Small Entity status
3. ☒ Specification, Claims,
and Abstract Total Pages 56
4. ☒ Drawings Total Sheets 20
Total Pages 76

5. Oath or Declaration

- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 16
completed)
The entire disclosure of the prior application, from which
a copy of the oath or declaration is supplied under Box
5b, is considered as being part of the disclosure of the
accompanying application and is hereby incorporated
by reference therein.

- (i) ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior
application, see 37 CFR 1.63(d)(2)
and 1.33(b).

- 6.
- ☐
- Microfiche Computer Program (Appendix)

7. ☐ Nucleotide and/or Amino Acid Sequence
Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of
above copies
8. ☐ Assignment:
- a. ☐ Assignment Papers (cover sheet &
document(s))
- b. ☒ Assignment is of record in parent
application No. 07/847,874.
9. ☐ 37 CFR 3.73(b) Statement
(when there is an assignee)
☐ Power of Attorney by assignee
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS) PTO-
1449
☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ Certified Copy of Priority Document(s)
15. ☐ Other:

16. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application U.S. Serial No. 09/368,855, filed
August 5, 1999 (allowed),

Recite complete dependency back to first parent application: This application is a continuation of U.S. Patent Application Serial No.
09/368,855, filed August 5, 1999 (allowed), which is a continuation of U.S. Patent Application Serial No. 08/889,342, filed July 8,
1997, now U.S. Patent No. 5,990,241, which is a continuation of U.S. Patent Application Serial No. 08/657,161, filed June 3, 1996,
now U.S. Patent No. 5,691,387, which is a division of U.S. Patent Application Serial No. 08/087,136, filed July 2, 1993, issued as
U.S. Patent No. 5,523,492, now Reissue Patent No. 36,665, which is a continuation of U.S. Patent Application Serial No.
07/847,874, filed March 13, 1992 (abandoned), which is a continuation-in-part of U.S. Patent Application Serial No. 07/673,289,
filed March 19, 1991, now abandoned.

17. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Code Label

23370

PATENT TRADEMARK OFFICE

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Signature	Suzanne Seavello Shope	Date	12/14/01

FEE TRANSMITTAL

Attorney Docket No.19720-0229 (42896-263913)

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): **R. MARTIN EMANUELE, PH.D.**Filing Date: **DECEMBER 14, 2001**Title: **POLYOXYPROPYLENE/POLYOXYETHYLENE COPOLYMERS WITH IMPROVED BIOLOGICAL ACTIVITY**

The filing fee is calculated as shown below:

1. FILING FEE:

		SMALL ENTITY		LARGE ENTITY	
FOR:		FEE	FEE PAID	FEE	FEE PAID
<input checked="" type="checkbox"/> UTILITY FILING FEE		\$370	\$370	\$740	
<input type="checkbox"/> DESIGN FILING FEE		\$165		\$330	
<input type="checkbox"/> PLANT FILING FEE		\$255		\$510	
<input type="checkbox"/> REISSUE FILING FEE		\$370		\$740	
<input type="checkbox"/> PROVISIONAL FILING FEE		\$80		\$160	
SUBTOTAL (1)			\$370		

CLAIMS:

SMALL ENTITY				LARGE ENTITY		
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
TOTAL CLAIMS	6 -20=	0	x 9 =		x 18 =	
INDEP. CLAIMS	2 - 3 =	0	x 42 =		x 84 =	
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+140 =		+280 =	
SUBTOTAL (2)						

ADDITIONAL FEES:

		SMALL ENTITY		LARGE ENTITY	
FOR:		FEE	FEE PAID	FEE	FEE PAID
<input type="checkbox"/> LATE FILING, FEE OR OATH		\$65		\$130	
<input type="checkbox"/> NON-ENGLISH SPECIFICATION		\$130		\$130	
<input type="checkbox"/> OTHER					
SUBTOTAL (3)					

TOTAL FILING FEES: \$370.00A check is enclosed for the total amount: **\$370.00**☒ Charge any additional fees required under 37 C.F.R. 1.16 or 1.17 to Deposit Account 11-0855.

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Suzanne Seavello Shope, Attorney for Applicant
Reg. No. 37,933Date: December 14, 2001